



Excalibur Academies Trust
Whistleblowing
Policy

Date of approval	September 2021
Approved by	Staffing Committee
Review date	September 2022



- 1 **Honesty and integrity:** The Trust is committed to conducting its business with honesty and integrity, and we expect all staff to maintain high standards in accordance with the Code of Conduct. All organisations face the risk of things going wrong from time to time, or of unknowingly harbouring illegal or unethical conduct. A culture of openness and accountability is essential in order to prevent such situations occurring and to address them when they do occur.

Aims: The aims of this policy are to:

encourage staff to report suspected wrongdoing as soon as possible, in the knowledge that their concerns will be taken seriously and investigated as appropriate, and that their confidentiality will be respected;

provide staff with guidance as to how to raise those concerns; and

reassure staff that they should be able to raise genuine concerns without fear of reprisals, even if they turn out to be mistaken.

Staff: This policy covers all employees, officers, governors, consultants, contractors, volunteers, work placement students, casual workers and agency workers.

Whistleblowing Trustee and Whistleblowing Officer: The Trust will periodically appoint one Trustee as Whistleblowing Trustee and one member of staff as Whistleblowing Officer.

Whistleblowing Trustee Jeanette Longhurst jeanette.longhurst@excalibur.org.uk

Whistleblowing Officer Kim Jones, Head of HR kim.jones@excalibur.org.uk

Wrongdoing at work:

Whistleblowing: Whistleblowing is the disclosure of information which relates to suspected wrongdoing or dangers at work. This may include:

- criminal activity;
- safeguarding concerns (see paragraph 0 below);
- failure to comply with any legal obligation or regulatory requirements;
- miscarriages of justice;
- danger to health and safety;
- damage to the environment;
- bribery;
- financial fraud or mismanagement;
- other unlawful or unethical conduct in the workplace;
- the deliberate concealment of any of the above matters.

Whistleblower: A whistleblower is a person who raises a genuine concern relating to any of the above. If you have any genuine concerns related to suspected wrongdoing or danger affecting any of our activities and such disclosure is in the public interest (a **whistleblowing concern**) you should report it under this policy.

Grievances: This procedure should not however be used where you have a complaint relating to your personal circumstances in the workplace. The Grievance Procedure contained in the Employment Manual should be used in such cases.



Detriment: Provided that this procedure is used appropriately and correctly, you will not suffer any detriment as a result of reporting a suspected wrongdoing.

Advice: If you are uncertain whether something is within the scope of this policy you should seek advice from the Principal, Designated Safeguarding Lead, Protect (**formerly known as Public Concern at Work**) or the NSPCC whistleblowing helpline or the Modern Slavery helpline.

Safeguarding

Safeguarding: Nothing within this policy is intended to prevent staff from complying with their statutory obligations in accordance with *Keeping Children Safe in Education* (DfE, September 2021). In particular:

Safeguarding / child protection policy: If you have any concern about a pupil's welfare, action should be taken immediately (even if they are low level concerns). You should report the concern to the Designated Safeguarding Lead or the Deputy Designated Safeguarding Lead. See the Trust's child protection and safeguarding policy and procedures for full information about what to do if you have a concern about a pupil, including what to do if the Designated Safeguarding Lead is not available.

Safeguarding - member of staff: You should raise any concerns about another staff member (even if they are low level concerns) with the Principal, or if the concern is about the Principal, with the CEO (without first notifying the Principal).

Whistleblowing policy: You should follow this procedure to raise concerns about poor or unsafe practices at the Trust or potential failures by the Trust or staff to properly fulfil its safeguarding responsibilities.

Exit interviews: All staff are trained so that they understand they are expected and encouraged to raise concerns they have, whether related to the safeguarding and welfare of pupils, the conduct of staff or other matters, during the course of their employment in accordance with this policy. Safeguarding children is at the centre of the Trust's culture and concerns should always be raised in accordance with paragraph 0 above. If issues have not been identified before, safeguarding will always be considered formally during staff performance development reviews and appraisal and finally at exit interviews which are held with all leavers. Staff who raise concerns about working practices at the Trust to the Designated Safeguarding Lead or an appropriate senior member of Staff will be protected from detriment under this policy

Confidentiality

Confidentiality: We hope that staff will feel able to voice whistleblowing concerns openly under this policy. However, if you want to raise your concern confidentially, we will make every effort to keep your identity secret. If it is necessary for anyone investigating your concern to know your identity, we will discuss this with you.

Anonymous disclosures: We do not encourage staff to make disclosures anonymously. Proper investigation may be more difficult or impossible if we cannot obtain further information from you. It is also more difficult to establish whether any allegations are credible.



Stage one

Procedure: You should disclose the suspected wrongdoing first to the Head of Human Resources. In the event that the Head of Human Resources is involved in the suspected wrongdoing, you should proceed directly to Stage Two of this procedure.

Response: You can expect a response detailing to whom the disclosure has been notified or any action taken within seven days of the Head of Human Resources becoming aware of the disclosure.

Stage two

Procedure: If no response is forthcoming after seven days, if you are not satisfied with the way in which your concern has been handled or if the Head of Human Resources is involved in the suspected wrongdoing you should notify the Whistleblowing Officer.

Response: You can expect a response detailing any action taken within seven days of the Whistleblowing Officer becoming aware of the disclosure.

Stage three

Procedure: If no such response is forthcoming after seven days from the Whistleblowing Officer, if you are not satisfied with the way in which your concern has been handled or if the Whistleblowing Officer is involved in the suspected wrongdoing you should inform the Whistleblowing Trustee of the disclosure.

Relevant external reporting

Outside body: The aim of this policy is to provide an internal mechanism for reporting, investigating and remedying any wrongdoing in the workplace. The law recognises, as does paragraph 0 above, that in some circumstances it may be appropriate for you to report your concerns to a relevant outside body including:

- the Local Authority Designated Officer;
- Children's Social Care;
- the NSPCC;
- the Health and Safety Executive (**HSE**);
- the Environment Agency;
- the Information Commissioner;
- the Department for Education (**DfE**);
- the Department for Business, Energy and Industrial Strategy;
- the Police;
- the Charity Commission;
- Office for Standards in Education, Children's Services and Skills (Ofsted)
- the Channel Police Practitioner.

Advice: Staff are strongly encouraged to seek advice before reporting a concern to anyone external. In most cases you should not find it necessary to alert anyone external but before you do, as well as considering the internal help and support available which is identified above, please seek external advice from:



Protect: If you have any concerns about disclosing a suspected wrongdoing the independent whistleblowing charity, Protect, operates a confidential helpline. Staff can call 020 7404 6609 for advice.

NSPCC: The NSPCC whistleblowing helpline is available for staff who do not feel able to raise concerns regarding child protection failures internally. Staff can call 0800 028 0285 (8.00 am to 8.00 pm Monday to Friday) or email help@nspcc.org.uk.

The Modern Slavery helpline: The Modern Slavery helpline is available for staff who do not feel able to raise concerns about modern slavery internally. Staff can call on 0800 0121 700.

2 **The media:** You should under no circumstances approach a commercial body or the media with details of the suspected wrongdoing. If you approach any such body and / or where your concern is disclosed in a malicious manner or for personal gain the protection given to you by this procedure may be lost. Additionally, the Trust may consider this to be gross misconduct and disciplinary action may be taken against you.

3 **Queries:** If you have any queries about this procedure, you should contact the Principal.